

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Chen, et al.  
**SERIAL NO.** Not yet assigned  
**FILED:** HEREWITH  
**FOR:** ASYMMETRIC SYNTHESIS OF KAVALACTONES

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-14550

Sir:

**PRELIMINARY AMENDMENT**

Please amend the application as follows prior to examination (a total of 4 pages):